

COPY OF PAPERS ORIGINALLY FILED

2131

Page 1 of 1 Sectory

NITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/898,286

07/03/2001

Geoffrey Daniel Tremain

1821-01100

23505 (Y.()(!) CONLEY ROSE & TAYON, P.C. P. O. BOX 3267 HOUSTON, TX 77253-3267

RECEIVED AUG 3 1 2001 CONFIRMATION NO. 2215
FORMALITIES LETTER
OC000000006488234

Date Mailed: 08/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/25/2002 SDIRETA1 00000087 032769

FILED UNDER 37 CFR 1.53(b)

01 F€:105

130.00 Ch

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

09899386

RECEIVED

MAR 1 2 2002

A copy of this notice MUST be returned with the reptechnology Center 2100

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

PTO/SB/017 (10-01)
Approv
use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark onice; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1990 Papersons a saveguaged to respond to a collection of information unless it contains a valid OMB control number

	Complete if Known		
FEE TRANSMITTALE	Application Number	09/898,286	
For FY 2002	Filing Date	July 3, 2001	
FOI F 1 2002/	First Named Inventor	Geoffrey Donald Theman EIVED	
Patent fees are subject to annual regision.	Examiner Name	MAR 1 2 2002	
<u> </u>	Group Art Unit	2131	
TOTAL AMOUNT OF PAYMENT \$ 130.00 RAPER	Attorney Docket No.	1821-01100 Technology Center 2100	

METHOD OF PAYMENT	FEE CALCULATION (continued)					
The Commissioner is hereby authorized to charge	3. ADDITIONAL FEES					
indicated fees and credit any overpayment to:					5	
Deposit Account Number: 03-2769		e Entity		Entity		
Deposit Account Name: Conley, Rose & Tayon, P.C.		Fee	Fee	Fee	Fee Description	
☐ Charge Any Additional Fee Required		e (\$)	Code			Fee Paid
Under 37 CFR 1.16 and 1.17	105	130	205		Surcharge - late filing fee or oath	\$130.00
Applicant claims small entity status.	127	50	227	25	Surcharge - late provisional filing	
See 37 CFR 1.27		130	120		fee or cover sheet	\$
	139 147	130	139		Non-English specification	\$
2. Payment Enclosed:	14/	2,520	147	2,520	For filing a request for ex parte reexamination	\$
☐ Check ☐ Credit Card ☐ Money ☐ Other	112	920*	112	020	Requesting publication of SIR prior	3
Order	112	920	112	920	to Examiner action	\$
Older	113	1.840*	113	1.840*	Requesting publication of SIR after	ب
	• • • • • • • • • • • • • • • • • • • •	1,040	113	1,040	Examiner action	\$
FEE CALCULATION	115	110	215	55	Extension for reply within first month	\$ \$
1. BASIC FILING FEE	116	400	216	200	Extension for reply within second month	\$
	117	920	217	460	Extension for reply within second month	\$
,	118	1.440	218	720	Extension for reply within fourth month	\$
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	128	1,960	228		Extension for reply within fifth month	Š
101 740 201 370 Utility filing Fee \$	119	320	219		Notice of Appeal	Š
106 330 206 165 Design filing fee \$	120	320	220	160	Filing a brief in support of an appeal	Š
107 510 207 255 Plant filing fee \$	121	280	221	140	Request for oral hearing	Š
108 740 208 370 Reissue filing fee \$	138	1,510	138	1.510	Petition to institute a public use	•
114 160 214 80 Provisional filing fee \$					proceeding	S
114 100 214 00 Hovisional limits ice 5	140	110	240	55	Petition to revive - unavoidable	\$
SUBTOTAL (1) \$	141	1,280	241	640	Petition to revive - unintentional	\$
SUBIOTAL (I) 3	142	1,280	242	640	Utility issue fee (or reissue)	\$
	143	460	243	230	Design issue fee	\$
2. EXTRA CLAIM FEES	144	620	244		Plant issue fee	\$
Fee from	122	130	122	130	Petitions to the Commissioner	\$
Extra Claims below Fee Paid	123	50	123	50	Petitions related to provisional applications	
Total Claims * -20** = * x 18.00 = \$	126	180	126	180	Submission of Information Disclosure Stm	t \$
Independent * 3** = * x 84.00 = \$	581	40	581	40	Recording each patent assignment per	
Claims					property (times number of properties)	\$
Multiple Dependent 280.00 = \$ 00.00	146	740	246	370	Filing a submission after final rejection	
255,50			2.40	270	(37 CFR § 1.129(a))	\$
	149	740	249	370	For each additional invention to be	
Large Entity Small Entity	179	740	279	270	examined (37 CFR § 1.129(b))	\$
Fee Fee Fee Fee Description	179	900	169	370 900	Request for Continued Examination (RCE)	\$
Code (\$) Code (\$)	109	900	109	900	Request for expedited examination	ا ،
103 18 203 9 Claims in excess of 20	Otha	r fee (spec	rifu) '		of a design application	\$ \$
102 84 202 42 Independent Claims in excess of 3	Othe	i ice (spec	LIIY)			•
104 280 204 140 Multiple dependent claim, if not paid	40.		****		CUDTOTAL (2)	6120.00
109 84 209 42 ** Reissue independent claims over original patent	*Reduced	l by Basic	Filing F	ee Paid	SUBTOTAL (3)	\$130.00
110 18 210 9 ** Reissue claims in excess of 20 and over original patent						
SUBTOTAL (2) \$						
** or number previously paid, if greater; For Reissues, see above	Ļ					

SUBMITTED BY CO				Complete (if apple	icable)
Name (Print/Type)	Marcella D. Watkins	Registration No. (Attorney/Agent)	36,962	Telephone	(713) 238-8000
Signature	Maulh Hattine		Date	Oct 26, 2001	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

PTO/SB/021 (08-00)

COPY OF PAPERS

Approved for use through 10/31/2002. OMB 0651-0032

ORIGINALLY FILED

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

	Under the Paperwork Reduction Act of 1995, no persons are required	to respond to a collection of information	on unless it contains a valid OMB control numbe
J	OIPE 3	Application Number	09/898,286
	ERANSMITTAL	Filing Date	July 3, 2001
	JAN 2 3 2002 E FORM	First Named Inventor	Geoffrey Donald Tremain
	(to be used for all correspondence after initial filing)	Group Art Unit	2131
1	(to be used for all correspondence after initial filing) Total Number of Pages in This Submission	Examiner Name	
	Total Number of Pages in This Submission	Attorney Docket Number	1821-01100

ENC	CLOSURES (check all that appl	ly)		
☑ Fee Transmittal Form☐ Fee Attached	Assignment (for an application)	After Allowance Communication to Group		
☐ Amendment/Reply ☐ After Final ☐ Affidavits/declaration(s) ☐ Extension of Time Request ☐ Express Abandonment Request ☐ Information Disclosure Statement ☐ Certified Copy of Priority Document(s) ☐ Response to Missing Parts/ Incomplete Application	 □ Drawing(s) □ Licensing-related Papers □ Petition □ Petition to Convert to a Provisional Application □ Power of Attorney, Revocation Change of Correspondence Address □ Terminal Disclaimer □ Request for Refund □ CD, Number of CD(s) 	□ Appeal Communication to Board of Appeals and Interferences □ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) □ Proprietary Information □ Status Letter □ Other Enclosure(s) (please identify below): Supplemental Application Data Sheet Declaration Postcard		
□ Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	RECEIVED MAR: 1 & 2002 Technology Center 2100		
SIGNATURE O	F APPLICANT, ATTORNEY,			
Firm Marcella D. W				
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: 2001. Typed or Printed Name Dora Lee Robertson				